§ 4.17 Disqualification of Commissioners.

- (a) Applicability. This section applies to all motions seeking the disqualification of a Commissioner from any adjudicative or rulemaking proceeding.
- (b) Procedures. (1) Whenever any participant in a proceeding shall deem a Commissioner for any reason to be disqualified from participation in that proceeding, such participant may file with the Secretary a motion to the Commission to disqualify the Commissioner, such motion to be supported by affidavits and other information setting forth with particularity the alleged grounds for disqualification.
- (2) Such motion shall be filed at the earliest practicable time after the participant learns, or could reasonably have learned, of the alleged grounds for disqualification.
- (3)(i) Such motion shall be addressed in the first instance by the Commissioner whose disqualification is sought.
- (ii) In the event such Commissioner declines to recuse himself or herself from further participation in the proceeding, the Commission shall determine the motion without the participation of such Commissioner.
- (c) Standards. Such motion shall be determined in accordance with legal standards applicable to the proceeding in which such motion is filed.

(15 U.S.C. 46(g))

[46 FR 45750, Sept. 15, 1981]

PART 5—STANDARDS OF CONDUCT

Subpart A—Employee Conduct Standards and Financial Conflicts of Interest

Sec.

- 5.1 Cross-reference to executive branchwide regulations.
- 5.2 Exemption of insubstantial financial conflicts.

Subpart B—Financial Disclosure Requirements

5.10 Cross-reference to executive branchwide regulations.

Subparts C-D [Reserved]

Subpart E—Disciplinary Actions Concerning Postemployment Conflict of Interest

- 5.51 Scope and applicability.
- 5.52 Nonpublic proceedings.
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- 5.60 Scheduling of hearing.
- 5.61 Prehearing procedures; motions; interlocutory appeals; summary decision; discovery; compulsory process.
- 5.62 Hearing rights of respondent.
- 5.63 Evidence; transcript; in camera orders; proposed findings of fact and conclusions of law.
- 5.64 Initial decision.
- 5.65 Review of initial decision.
- 5.66 Commission decision and reconsideration.
- 5.67 Sanctions.
- 5.68 Judicial review.

AUTHORITY: 5 U.S.C. 7301; 5 U.S.C. App. (Ethics in Government Act of 1978); 15 U.S.C. 46(g); E.O. 12674, 54 FR 15159, 3 CFR, 1989 Comp., p. 215, as modified by E.O. 12731, 55 FR 42547, 3 CFR, 1990 Comp., p. 306; 5 CFR part 2635.

SOURCE: 32 FR 13272, Sept. 20, 1967, unless otherwise noted. Redesignated at 41 FR 54483, Dec. 14, 1976.

Subpart A—Employee Conduct Standards and Financial Conflicts of Interest

§ 5.1 Cross-reference to executive branch-wide regulations.

Commissioners and employees, including special government employees, of the Federal Trade Commission (FTC) are subject to and should refer to the "Standards of Ethical Conduct for Employees of the Executive Branch" at 5 CFR part 2635 ("executive branchwide Standards of Conduct") and to the FTC regulations at 5 CFR 5701 that supplement the executive branch-wide Standards of Conduct.

 $[58 \ FR \ 15764, \ Mar. \ 24, \ 1993, \ as \ amended \ at \ 64 \ FR \ 42594, \ Aug. \ 5, \ 1999]$